## AP13 Rec'd PCT/PTO 18 JAN 2007

**PATENT** 

Attorney Docket No.: VOSS1200

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Ulrich Braun

Art Unit:

1724

5966

Application No.:

10/561,371

Examiner:

Not Yet Assigned

I.A. Filing Date:

June 15, 2004

Conf. No.:

Title:

TREATMENT AND LOOP-PROCESSING OF WASTE WATERS

## **Mail Stop PCT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Sir:

In response to the Notification of Missing Requirements under 35 U.S.C. 371 mailed January 5, 2007, enclosed are:

- 1. One (1) copy of the Notification of Missing Requirements under 35 U.S.C. 371 mailed January 5, 2007 (2 pages);
- One (1) executed Declaration for Patent Application (3 pages); 2.
- 3. One (1) Power of Attorney by Assignee (2 pages); and
- 4. Return Receipt Postcard.

**CERTIFICATION UNDER 37 CFR §1.10** "EXPRESS MAIL" Mailing Label Number: EV 841 623 585 US Date of Deposit: January 18, 2007

I hereby certify that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

KAREN LePARI

(Name of Person Mailing Paper)

(Signature)

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In re Application of: Ulrich Braun

Application No.: 10/561,371 I.A. Filing Date: June 15, 2004

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PATENT Attorney Docket No.: VOSS1200

Applicants claim **SMALL ENTITY** status in the above-identified application. Pursuant to 37 C.F.R. § 1.27, a verified statement claiming small entity status is not required.

The filing fee has been calculated as follows.

|  | Number<br>Filed |     | Number<br>Extra |       | Rate            |                 |          | Fee             |            |         |
|--|-----------------|-----|-----------------|-------|-----------------|-----------------|----------|-----------------|------------|---------|
|  |                 |     |                 |       | Small<br>Entity | Large<br>Entity |          | Small<br>Entity | Lar<br>Ent |         |
| Total Claims   | 51-20           | =   | 31              | Х     | \$25            | \$50            | =        | [\$ 775.00]     | [\$        | .00]    |
| Independent Claims                                       | <b>2-</b> 3     | · = | 0               | Х     | \$100           | \$200           | =        | [\$ .00]        | [\$        | .00]    |
| Multiple Dependent Claims Present: Yes _x_No             |                 |     |                 | \$190 | \$360           |                 | N/A N/A  |                 | √A         |         |
| Fee for Each Additional 50 Sheets in Excess of 100 Pages |                 |     |                 | \$125 | \$250           |                 | N/A      | 1               | √A         |         |
|  |                 |     |                 |       | FII             | LING FEE        |          | [\$ 150.00]     | [\$ 3      | 300.00] |
|  | •               |     |                 |       | SEA             | ARCH FEE        |          | [\$ 200.00]     | [\$ 4      | [00.00  |
|  |                 |     |                 |       | EX              | AM FEE          |          | [\$ 100.00]     | [\$ 2      | [00.00] |
|  |                 |     |                 |       | SURC            | HARGE FEE       |          | [\$ 65.00]      | [\$ 1      | 30.00]  |
|  |                 |     |                 |       | то              | TAL FEE         | 30 01111 | [\$1,290.00]    |            |         |

No fee is deemed necessary in connection with the filing of this paper. However, if a fee is required, the Commissioner is hereby authorized to charge any other fees associated with the filing submitted herewith, or credit any overpayments to Deposit Account No. 07-1896. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: <u>January 18, 2007</u>

Lisa A. Haile, J.D., Ph.D.

Registration No.: 38,347 Telephone: (858) 677-1456 Facsimile: (858) 677-1465

**USPTO CUSTOMER NUMBER 28213** 

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## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/561,371

Ulrich Braun

VOSS1200

INTERNATIONAL APPLICATION NO.

PCT/EP04/06439

I.A. FILING DATE

PRIORITY DATE

06/15/2004

06/15/2003

28213 DLA PIPER US LLP 4365 EXECUTIVE DRIVE SUITE 1100 SAN DIEGO, CA 92121-2133

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**DLA PIPER** 

CONFIRMATION NO. 5966 371 FORMALITIES LETTER

\*OC00000021841091\*

Date Mailed: 01/05/2007

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 12/15/2005
- English Translation of the IA filed on 12/15/2005
- Copy of the International Search Report filed on 12/15/2005
- Preliminary Amendments filed on 12/15/2005
- Oath or Declaration filed on 12/15/2005
- Request for Immediate Examination filed on 12/15/2005
- U.S. Basic National Fees filed on 12/15/2005
- Specification filed on 12/15/2005
- Claims filed on 12/15/2005
- Abstracts filed on 12/15/2005
- Drawings filed on 12/15/2005

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

TAMALA D HOLLAND

Telephone: (703) 308-9140 EXT 209

## PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY, DOCKET NO. |  |  |
|-----------------------------|-------------------------------|------------------|--|--|
| 10/561,371                  | PCT/EP04/06439                | VOSS1200         |  |  |

FORM PCT/DO/EO/905 (371 Formalities Notice)